

## Transcorrelated approach for CI methods A Ammar<sup>1</sup>, E Giner<sup>2</sup>, P F Loos<sup>1</sup> & A Scemama<sup>1</sup>

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# Introduction



→ Wavefunction theory provides a systematic way to improve the accuracy



→ FCI calculation in a CBS gives the exact solution

- oxtimes selected CI (CIPSI, QMCFCI,  $\ldots$  ) are powerful methods to approximate & compactify the FCI space
- 💛 What about the convergence with respect to the size of the basis set ?



→ If we have a CBS  $\{\phi_1(\mathbf{r}), \phi_2(\mathbf{r}), \dots\}$ , we can expand exactly the wavefunction in this basis

For 1 electron: 
$$\Psi(\mathbf{r}) = \sum_{i}^{\infty} c_i \phi_i(\mathbf{r})$$

$$\underline{\text{For 2 electrons:}} \quad \Psi(\mathbf{r}_1, \mathbf{r}_2) = \sum_{i}^{\infty} c_i(\mathbf{r}_2) \phi_i(\mathbf{r}_1) = \sum_{i,j}^{\infty} a_{ij} \phi_j(\mathbf{r}_2) \phi_i(\mathbf{r}_1) = \frac{1}{2} \sum_{i,j}^{\infty} a_{ij} \begin{vmatrix} \phi_i(\mathbf{r}_1) & \phi_j(\mathbf{r}_1) \\ \phi_i(\mathbf{r}_2) & \phi_j(\mathbf{r}_2) \end{vmatrix}$$

→ The use of truncated basis sets  $\mathcal{B}$  of one-electron functions leads to a poor representation of the "dynamical correlation" in many-electron systems. A large  $\mathcal{B}$  is required to cover these effects

→ Alternatively, one may expect to converge faster by including explicit two-electron functions

$$\Psi(\mathbf{r_1},\mathbf{r_2}) pprox \left(\sum_{i,j}^{<\infty} a_{ij} \phi_j(\mathbf{r_2}) \phi_i(\mathbf{r_1})\right) \mathcal{J}(\mathbf{r_1},\mathbf{r_2})$$



 $\Rightarrow$  To illustrate the effect of including explicit 2-electron terms we consider the exemple of the Helium atom

	nb of parameters	Energy (a.u.)
exact		-2.9037
FCI(cc-pVDZ)	196	- <b>2</b> .8876
FCI(cc-pVTZ)	900	- <b>2</b> . <b>90</b> 02
FCI(cc-pVQZ)	3 025	- <b>2</b> . <b>90</b> 24
FCI(cc-pV5Z)	8 281	- <b>2.903</b> 2
FCI(cc-pV6Z)	19 600	<b>-2.903</b> 4
Hylleraas (1928)	6	- <b>2</b> . <b>903</b> 3



 $\clubsuit$  On the other hand, exact wavefunction must satisfies the Kato's cusp

$$\frac{1}{\Psi} \frac{\partial \Psi}{\partial_{r_{12}}} \bigg|_{r_{12}=0} = \frac{1}{2}$$





 $\Rightarrow$  Hylleraas-like approaches  $r_{12}^{\nu}, e^{-\gamma r_{12}^2}, e^{-\gamma r_{12}}, \dots$ 

➤ very high accuracy but feasible only for systems with at most 3-4 electrons

 $\Rightarrow$  R12/F12 methods:

$$\Psi = \Phi_{\mathsf{CI}} + \hat{\mathcal{F}}_{\mathsf{12}} \, \Phi_{\mathsf{ref}}$$

> accelerate convergence with respect to  $\mathcal{B}$  (for exemple CCSD-R12 in cc-pVTZ  $\approx$  CCSD in cc-pV5Z)

 $\blacktriangleright$  but, the wavefunction is expanded instead of being compacted

▶ involves 3- and 4-electron integrals, auxiliary bases, simple 2-electron geminals, many approximations ...

➡ CI-Jatrow Ansatz:

$$\Psi = \Phi_{\mathsf{CI}} \times e^{+\tau} = \sum_{I} c_{I} D_{I} \times e^{+\tau} \quad \text{with } \tau = \sum_{i,j} u(\mathbf{r}_{i}, \mathbf{r}_{j})$$

- $\blacktriangleright$  accelerates convergence with respect to  $\mathcal{B}$
- compacted wavefunction (× instead of +)

> very complex integrals 
$$\langle D_I e^{+\tau} | \widehat{O} | D_J e^{+\tau} \rangle$$
 (Monte Carlo)

- statistical noise
- \* computationally expensive algorithms





## Transcorrelated approach



- ↔ introduced by Boys & Handy in 1979 and resurrected in 2000 by Ten-no and coworkers
- → from 2000 → 2023: TC has been combined with PT, CI, CC, DMRG, DFT, Quantum computing, ...







 $\Rightarrow$  The aim of the TC theory is nothing but to solve the Schrödinger equation for the Ansatz

$$\Psi = \Phi_{\mathsf{CI}} \times e^{+\tau} \quad \text{with} \begin{cases} \Phi_{\mathsf{CI}} = \sum_{l} c_{l} D_{l} \\ \tau = \sum_{i,j} u(\mathbf{r}_{i}, \mathbf{r}_{j}) \end{cases}$$

$$\hat{H} (e^{+\tau} \Phi_{\mathsf{CI}}) = E (e^{+\tau} \Phi_{\mathsf{CI}}) \Rightarrow e^{-\tau} \hat{H} (e^{+\tau} \Phi_{\mathsf{CI}}) = E \Phi_{\mathsf{CI}}$$

$$\Rightarrow \boxed{\hat{H}_{\mathsf{TC}} \Phi_{\mathsf{CI}} = E \Phi_{\mathsf{CI}}} \quad \text{with} \boxed{\hat{H}_{\mathsf{TC}} \equiv e^{-\hat{\tau}} \hat{H} e^{+\hat{\tau}}}$$

- $\Rightarrow \hat{H}$  and  $\hat{H}_{TC}$  share the same spectrum (similarity-transformation)
- → The effective TC Hamiltonian is non-Hermitian and can be written as

$$\hat{H}_{\mathsf{TC}} = \hat{H} + \hat{K}_{12} + \hat{L}_{123}$$

 $\hat{H} |\xi\rangle = E |\xi\rangle \qquad \qquad \hat{H}_{\mathsf{TC}} |\xi_R\rangle = E_{\mathsf{TC}} |\xi_R\rangle$   $\langle \xi| \, \hat{H}^{\dagger} = E \, \langle \xi| \qquad \qquad \langle \xi_L | \, \hat{H}^{\dagger}_{\mathsf{TC}} = E_{\mathsf{TC}} \, \langle \xi_L |$ 



### Pros and Cons of TC method

- $\cong$  restored Slater-Condon rules:  $\langle D_I | \hat{H} | D_J \rangle$ ,  $\langle D_I | \hat{K}_{12} | D_J \rangle$ ,  $\langle D_I | \hat{L}_{123} | D_J \rangle$
- $\stackrel{()}{=}$  For a good choice of au, there is no local divergences  $1/r_{12}$  in  $\hat{H}_{\mathsf{TC}}$  and  $\Phi_{\mathsf{CI}}$  is cuspless
- <sup>1</sup>  $\hat{H}_{TC}$  is non-Hermitian (Variational principle):  $\langle f | \hat{K}_{12}^{\dagger} g \rangle \neq \langle f | \hat{K}_{12} g \rangle$ <sup>2</sup>  $\hat{H}_{TC}$  is a **3-electron operator**: we need 6d tables for  $\langle \phi_i \phi_j \phi_k | \hat{L}_{123} | \phi_I \phi_m \phi_n \rangle$ <sup>2</sup> 2-electron integrals **are not analytical** in general (even with GTOs)





### Toward a practical TC approach

#### → Biorthogonal Quantum Mechanics

# Optimization: Variational principle → stationary principle

to optimize the CI parameters of  $\Phi(\mathcal{P}) \times e^{+\tau}$ , we introduce a left wavefunction  $X(\mathcal{P}') \times e^{-\tau}$ 

$$\boxed{\frac{\partial}{\partial \mathcal{P}'} \mathcal{E}_{\mathsf{TC}}[X, \Phi] = 0 \Rightarrow \text{ stationary point } \mathcal{P}} \quad \text{with } \quad \mathcal{E}_{\mathsf{TC}}[X, \Phi] = \frac{\langle X | \hat{H}_{\mathsf{TC}} | \Phi \rangle}{\langle X | \Phi \rangle}$$

\* Application: Quantum dynamics, perturbation theory, second quantization, ...

- ➡ Integrals complexity
  - ${\ensuremath{\#}}$  usually we can reduce the complexity of 3-e integrals from  $\mathbb{R}^9$  to  $\mathbb{R}^6$
  - \* data storage of the 3-e term  $\mathcal{O}(M_{\beta}^{6}) \rightarrow$  approximations on the 3-e term lead to small bias (**xTC**)
  - \* For our Jastrow, the involved integrals are semi-analytical



# Optimization of CI-Jastrow wavefunction



→ <u>Recall</u>: CI coefficients of  $\Phi_{CI} = \sum_{I} c_{I} D_{I}$  are optimized by solving

$$\mathbf{H}\mathbf{C} = E \mathbf{S}\mathbf{C} \quad \text{where} \begin{cases} H_{IK} = \langle D_I | \hat{H} | D_K \rangle, & \sum_{i \in I} \text{over } \mathbf{2}\text{-electron integrals thanks to Slater-Condon rules} \\ S_{IK} = \langle D_I | D_K \rangle = \delta_{IK} \end{cases}$$

→ For a CI-Jastow wavefunction  $\Phi_{CI-J} = \sum_{l} c_l D_l \times e^{+\tau}$ , the eigenproblem in the variational scheme becomes

$$\mathbf{H} \, \mathbf{C} = E \, \mathbf{S} \, \mathbf{C} \quad \text{where} \begin{array}{l} \left\{ \begin{aligned} H_{IK} &= \langle D_I e^{+\tau} | \hat{H} | D_K e^{+\tau} \rangle \,, & \text{Monte Carlo technics} \\ S_{IK} &= \langle D_I e^{+\tau} | D_K e^{+\tau} \rangle \neq \delta_{IK}, & \text{Monte Carlo technics} \end{aligned} \right.$$

→ In the TC framework, we solve rather a non-variational (stationary) eigenproblem

$$\mathbf{H}\,\mathbf{C} = E\,\mathbf{S}\,\mathbf{C} \quad \text{where} \begin{array}{l} \left\{ \begin{aligned} H_{IK} &= \langle D_I e^{-\tau} | \hat{H} | D_K e^{+\tau} \rangle = \langle D_I | \hat{H}_{\mathsf{TC}} | D_K \rangle \,, & \sum \text{over } \mathbf{2}\text{-} \mathbf{\&} \text{ 3-electron integrals} \\ S_{IK} &= \langle D_I e^{-\tau} | D_K e^{+\tau} \rangle = \delta_{IK} \end{aligned} \right.$$



### **Optimisation of CI coefficients**

#### Illustration: $H_2$ with FCI wavefunctions





- → Hartree-Fock are widely used as start point for post-HF methods
- ➡ TC canonical orbitals
  - $\Rightarrow$  left & right orbitals:  $\{\chi\}$  &  $\{\phi\}$
  - → left & right Slater determinants:  $D^{\chi}$  &  $D^{\phi}$
  - $\Rightarrow$  stationary point of the TC energy  $\Rightarrow$  generalized Brillouin theorem
- → TC self consistent field (TC-SCF)
  - (1) select an orthogonal orbitals  $C^0$  as a first guess  $C^{\chi}=C^{\phi}=C^0$
  - @ built and diagonalize the TC-Fock matrix to get new biorthogonal vectors { $V_L$ ,  $V_R$ },  $V_L^t \times V_R = I$
  - ③ update orbitals:  $C^{\chi} \leftarrow C^{\chi} \times V_{\mathsf{L}}, \ C^{\phi} \leftarrow C^{\phi} \times V_{\mathsf{R}}$
  - ④ if(.not.converged) go to ②



#### Illustration: Ne in cc-pCVDZ





# selected CI for explicitly correlated wavefunction



- ➡ TC-CIPSI algorithm
- 1 start with a selected CI space  $\mathcal I$

(2) diagonalize  $\hat{H}_{TC}$  in  $\mathcal{I}$ :  $X^{(0)}$ ,  $\Phi^{(0)}$ ,  $E^{(0)}_{TC}$ (3) find the connected external determinants  $\{\alpha | \langle \alpha | \hat{H}_{TC} | I \rangle \neq 0\}$ 

4 compute the **TC** second-order perturbative contributions (**TC-PT**<sub>2</sub>)

$$e_{\alpha}^{(2)} = \frac{\langle \chi^{(0)} | \hat{H}_{\mathsf{TC}} | \alpha \rangle \langle \alpha | \hat{H}_{\mathsf{TC}} | \Phi^{(0)} \rangle}{E_{\mathsf{TC}}^{(0)} - \langle \alpha | \hat{H} | \alpha \rangle}, \quad E_{\mathsf{TC}}^{(2)} = \sum_{\alpha} e_{\alpha}^{(2)}$$

(5) estimate the TC-FCI energy:  $E_{TC-FCI} \approx E_{TC}^{(0)} + E_{TC}^{(2)}$ (6) select the most relevant external determinants  $\mathcal{A}: \mathcal{I} \leftarrow \mathcal{I} \cup \mathcal{A}$ (7) update the zeroth-order  $X^{(0)}$ ,  $\Phi^{(0)}$  and  $E_{TC}^{(0)}$  using **Davidson** (8) if not converged, go to (3)

→ TC-CIPSI → TC-FCI when 
$$E_{TC}^{(2)} \rightarrow 0$$





CIPSI	vs TC-CIPSI	
compactify $\Psi_{CI} = \sum_{I} c_{I} D_{I}$	compactify $\Psi_{CI-J} = \sum_l c_l D_l e^{+ au}$	
target the FCI	target the TC-FCI	
start with $\Phi^{(0)}, E^{(0)}$	start with $\Phi^{(0)}, X^{(0)}, E^{(0)}_{TC}$	
$e^{(2)}_lpha = rac{\left \langlelpha \hat{H} \Phi^{(0)} angle ight ^2}{E^{(0)}-\langlelpha \hat{H} lpha angle} < 0$	$e_{lpha}^{(2)} = rac{\langle X^{(0)}   \hat{H}_{TC}   lpha  angle \langle lpha   \hat{H}_{TC}   \Phi^{(0)}  angle}{E_{TC}^{(0)} - \langle lpha   \hat{H}_{TC}   lpha  angle}$	
ymmetric Davidson to update $\Phi^{(0)}, E^{(0)}$	non-symmetric Davidson to update $\Phi^{(0)}, X^{(0)}$	<sup>0)</sup> , <i>E</i> <sup>(0)</sup> <sub>TC</sub>



**CIPSI vs TC-CIPSI** 

Exemple:  $N_2$  in cc-pVDZ







- ↔ CI-Jastrow wavefunction
  - ∠ provides a compacted excplicitly correlated wavefunction
  - $\measuredangle$  accelerates the convergence with respect to the basis set
- → TC theory
  - ∠ allows to avoid high-dimensional integrals via a similarity transformation
  - d combined with Biorthogonal QM, enables to do Quantum Chemistry in an efficient way
- → TC-CIPSI algorithm
  - ∠ selects the most relevant determinants in the CI-Jastrow wavefunction
  - $\leq$  gives near TC-FCI quality thanks to TC-PT<sub>2</sub>



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### Thank you for your attention



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